

Borrower Name:

Lender/Participating Financial Institution:

Lender Loan No.

CalCAP California Air Resources Board (CARB)
Zero-Emission Heavy-Duty Vehicle (ZEHDV) Air Quality Loan Program
Borrower Eligibility Criteria and Self-Certification Form

The undersigned Borrower hereby applies to the Capital Programs & Climate Financing Authority (CPCFA) for funds to pay the Borrower's premium for participation in the CPCFA's California Capital Access Program (CalCAP) Zero-Emission Heavy-Duty Vehicle (ZEHDV) Air Quality Loan Program. The lender will submit this form with the CalCAP ZEHDV Programs Loan Enrollment Form to CPCFA at:

Capital Programs & Climate Financing Authority (CPCFA)

Attention: California Capital Access Program (CalCAP)

P.O. Box 942809, Sacramento, CA 94209-0001

Fax: (916) 589-2805

Email: CalCAP@treasurer.ca.gov

For assistance, lenders please call CPCFA staff at (916) 654-5610.

SECTION I: ZEHDV AIR QUALITY LOAN PROGRAM ELIGIBILITY

By initialing on each line below, Borrower certifies to eligibility under the CalCAP ZEHDV Air Quality Loan Program.

Borrower will use the program only to purchase zero-emission on-road heavy-duty vehicles and equipment.

Eligible purchases with loan proceeds include:

- New and used zero-emission medium- and heavy-duty trucks; over 8,500 lbs. GVWR with zero-emission powertrains;
- New and used zero-emission trailers, when funded with the purchase of an eligible truck
- Equipment Warranty, when funded with the purchase of an eligible truck; and

CalCAP CARB ZEHDV Air Quality Loan Program Borrower Certification

- The vehicles must use electric, hydrogen refueling or other zero-emission technology.

(a)_____

Borrower certifies that his/her fleet has 20 or fewer on-road vehicles referenced in the above statement with a Gross Vehicle Weight Rating (GVWR) greater than 8,500 lbs. under common ownership or control and domiciled in California or is a non-profit entity that meets all other CalCAP and ZEHDV Air Quality Loan Programs requirements.

Consistent with fleet reporting requirements in California Code of Regulations, Title 13, Section 2025, the Borrower shall include vehicles whether or not they are registered, and include vehicles registered as non-operating. In Section III, the Borrower shall provide the total number of zero-emission on-road heavy-duty vehicles in his/her fleet. (Non-profit organizations may have more than 20 vehicles but must satisfy all other requirements of the CalCAP and ZEHDV Air Quality Loan Programs).

(b)_____

Borrower, who plans to receive or use California Capital Access Program (CalCAP) funds to support the purchase, or lease for greater than one year, of new drayage or short-haul trucks, agrees to comply at all times with the applicable requirements of AB 794 (2021) and AB 2737 (2022), including all applicable provisions of California Health and Safety Code sections [39680 through 39693](#), as a condition of loan funds receipt or use and as a condition of participation in the CalCAP program. Borrower has submitted the related attestation in compliance with these requirements at:

<https://www.cazevlaborlawcompliance.org/s/>

Enter Borrower's completed attestation number here, if applicable (format ATT-#####): ATT-_____

Attestations must be renewed on a yearly basis, for the life of the loan agreement. (Health & Saf. Code, § 39690, subd. (c).)

(c)_____

SECTION II: GRANTS OR VOUCHERS

By initialing below, the Borrower certifies that either (a) (b), (c) is true and correct. **If Borrower has not received a CARB grant or is not approved for the voucher listed below, DO NOT INITIAL ANY OF THE STATEMENTS.**

CalCAP CARB ZEHDV Air Quality Loan Program Borrower Certification

Borrower certifies that he/she has received a grant through CARB's Hybrid and Zero- Emission Truck and Bus Voucher Incentive Project (HVIP) to purchase or lease a hybrid or zero-emission truck or bus
(a)_____

Borrower certifies that he/she has received a grant through the CARB's Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP) for the vehicle(s) being financed.
(b)_____

Borrower certifies that he/she has received a grant through the Volkswagen Environmental Mitigation Trust for the vehicle(s) being financed.
(c)_____

Any other grants received: _____

SECTION III: CALCAP ELIGIBILITY

By initialing on each line below, Borrower certifies to eligibility under the CalCAP.

Borrower is a qualified business as defined in the CalCAP regulations (California Code of Regulations, Title 4, Section 8078.22.).
(a)_____

Borrower obtained a loan that is for a vehicle registered in California with the Department of Motor Vehicles.
(b)_____

Borrower agrees to allow the participating financial institution to provide information from financial records of the Borrower upon request of the Executive Director of CPCFA and/or Branch Chief of the Incentives Enforcement Section of the California Air Resource Board.
(c)_____

Borrower has no legal, beneficial, or equitable interest in the fees or the contribution.
(d)_____

Borrower does not have a total enrolled principal amount in excess of \$2,500,000 at any CalCAP participating financial institution over a three (3) year period.
(e)_____

CalCAP CARB ZEHDV Air Quality Loan Program Borrower Certification

Borrower has secured or made application for all applicable licenses or permits needed to conduct its business.

(f) _____

Borrower has received CPCFA's CalCAP Privacy Notice at:

<https://www.treasurer.ca.gov/cpcfca/calcap/forms/privacy.pdf>.

(g) _____

Borrower is not: an executive officer, director, or principal shareholder of the lender/participating financial institution; a member of the immediate family of those individuals; or a related interest of those individuals.

(h) _____

SECTION IV: VEHICLE INFORMATION

By initialing below, the Borrower certifies that each statement is true and correct.

Borrower certifies that the qualified business meets state and federal requirements to operate in California.

(a) _____

Borrower agrees to allow CARB staff or its designee to inspect the affected vehicle.

(b) _____

The Borrower must provide the applicable information in the section below, including the vehicle make/model and any included trailer purchase.

(c) _____

Additional Information for Completion by the Borrower

Fleet Size (The Borrower shall write in the total number (example: 8) of on-road vehicles in their fleet with a GVWR greater than 8,500 lbs. under common ownership and control and domiciled in California.): _____

CalCAP CARB ZEHDV Air Quality Loan Program Borrower Certification

For Truck Purchases

Number of vehicles being purchased:

For truck purchased, state the GVWR (information about Gross Vehicle Weight Rating (GVWR) is available at <http://www.arb.ca.gov/msprog/truckstop/azregs/labels.htm>):

State if truck is NEW or USED:

Vehicle Make/Model:

Vehicle Model Year:

Motor kWh:

Technology/Fuel Type (fuel codes: E-Electric, H-Hydrogen Fuel Cell):

For Trailer Purchases (Trailers may be eligible for financing ONLY in conjunction with the purchase of an eligible tractor.)

Trailer Make/Model:

Trailer Model Year:

SmartWay Certified? (Yes or No):

The undersigned declares under penalty of perjury, under the laws of the State of California, that all statements and responses made in this self-certification are true and correct, with full knowledge that all

CalCAP CARB ZEHDV Air Quality Loan Program Borrower Certification

statements and responses are subject to investigation and that any incomplete, unclear, false, or dishonest response may be grounds for Borrower's disqualification in the ZEHDV Air Quality Loan Program and may also negatively impact Borrower application(s) in any other California sponsored or other state programs, and/or their ability to do business with the State of California. The Borrower acknowledges, understands, and accepts that by providing or making any false statements or providing false information, the Borrower may be in a violation of the California False Claims Act (Government Code Section 12650 et seq.).

Business Name:

Individual's Name (please print):

Individual's Title (as it pertains to the business):

Business Address (address, city, state, zip code):

Phone Number:

Signature of above Individual:

Date:

CalCAP Use Only	
Analyst's Initials:	Date:
Reviewer's Initials:	Date:
Authorized Signature:	Date:

When complete, please send to: CalCAP@treasurer.ca.gov