

Borrower Name:

Lender/Participating Financial Institution:

Lender Loan No:

**Heavy-Duty Vehicle Air Quality Loan Program**  
**HDV Air Quality Loan Program**  
**Borrower Eligibility Criteria and Self-Certification Form**

The undersigned Borrower hereby applies to the Capital Programs & Climate Financing Authority (CPCFA) for funds to pay the Borrower's premium for participation in the CPCFA's California Capital Access Program (CalCAP). The lender will submit this form with the CalCAP CARB Programs Loan Enrollment Form to CPCFA at:

Capital Programs & Climate Financing Authority (CPCFA)

**Attention: California Capital Access Program (CalCAP)**

P.O. Box 942809, Sacramento, CA 95814

Fax: (916) 589-2805

Email: [CalCAP@treasurer.ca.gov](mailto:CalCAP@treasurer.ca.gov)

For assistance, lenders please call CPCFA staff at (916) 654-5610.

**SECTION I: HDV AIR QUALITY LOAN PROGRAM ELIGIBILITY**

By initialing on each line below, Borrower certifies to eligibility under the CalCAP HDV Air Quality Loan Program.

Borrower will use the program only to purchase on-road heavy-duty vehicles and equipment for compliance with the California Air Resources Board's (CARB) Statewide In-Use Truck and Bus Regulation (Regulation) (California Code of Regulations, title 13, Section 2025), refer to:

<http://ww2.arb.ca.gov/our-work/programs/truck-bus-regulation/truck-and-bus-regulation-advisories>

Eligible purchases with loan proceeds include:

## CalCAP CARB HDV Air Quality Loan Program Borrower Certification

- Used and new trucks equipped with 2010 and later model year engines CARB-certified to 2010 and later model year emission standards;
- Equipment Warranty, when funded with the purchase of an eligible truck; and
- The engines can use diesel fuel, compressed natural gas (CNG), liquified natural gas (LNG), or other fuels including zero-emission technology.

(a) \_\_\_\_\_

Borrower certifies that his/her fleet has 10 or fewer on-road vehicles subject to the Regulation referenced in the above statement, or is a non-profit entity that meets all other CalCAP requirements.

Consistent with fleet reporting requirements in California Code of Regulations, Title 13, Section 2025, the Borrower shall include vehicles whether or not they are registered, and include vehicles registered as non-operating. In Section III, the Borrower shall provide the total number of on-road heavy-duty vehicles in his/her fleet subject to the Regulation. (Non-profit organizations may have more than 10 vehicles but must satisfy all other requirements of the CalCAP and HDV Air Quality Loan Programs).

(b) \_\_\_\_\_

Borrower certifies that he/she has 100 or fewer employees and \$10 million or less in annual revenues averaged over the prior three (3) years.

(c) \_\_\_\_\_

Borrower is aware that CARB is developing the Advanced Clean Fleet regulation which would require State and local government fleets, drayage trucks, high priority fleets, and federal fleets to phase in medium- and heavy-duty zero-emission vehicles (ZEVs), and light-duty package delivery ZEVs over time. The proposed regulation sets an end date for combustion-powered new medium- and heavy-duty vehicle sales in California.

Complete regulatory language, and the current status of the regulatory development, can be found at: <https://ww2.arb.ca.gov/rulemaking/2022/acf2022>.

Borrower understands they may be subject to potential future obligations under this and other regulations requiring transition to zero-emission technology. Borrower is aware their purchase with the enrolled loan may affect their compliance.

(d) \_\_\_\_\_

## **CalCAP CARB HDV Air Quality Loan Program Borrower Certification**

Borrower has submitted a public attestation online at: [www.CAZEVLaborLawcompliance.org](http://www.CAZEVLaborLawcompliance.org) that they are in full compliance with all labor laws and other conditions as required by Assembly Bill 794 (Statutes of 2021).

**(e)**\_\_\_\_\_

By initialing either (f) (g), or (h) below, Borrower certifies that either (f) (g), or (h) is true or correct. If the Borrower has not received a CARB grant or is not approved for a voucher below, DO NOT INITIAL ANY OF THE STATEMENTS.

Borrower certifies that he/she has received a grant through CARB's Proposition 1B Goods Movement Emission Reduction Program for the vehicle(s) being financed.

**(f)**\_\_\_\_\_

Borrower certifies that he/she has received a grant through the CARB's Carl Moyer Program On-Road Heavy-Duty Vehicle Voucher Incentive Program (VIP) for the vehicle(s) being financed.

**(g)**\_\_\_\_\_

Borrower certifies that he/she has received a grant through CARB's Hybrid and Zero- Emission Truck and Bus Voucher Incentive Project (HVIP) to purchase or lease a hybrid or zero-emission truck or bus

**(h)**\_\_\_\_\_

## **SECTION II: CALCAP ELIGIBILITY**

By initialing on each line below, Borrower certifies to eligibility under the CalCAP.

Borrower is a qualified business as defined in the CalCAP regulations (California Code of Regulations, Title 4, Section 8078.22.).

**(a)**\_\_\_\_\_

Borrower obtained a loan that is for a vehicle registered in California with the Department of Motor Vehicles.

**(b)**\_\_\_\_\_

**CalCAP CARB HDV Air Quality Loan Program Borrower Certification**

Borrower agrees to allow the participating financial institution to provide information from financial records of the Borrower upon request of the Executive Director of CPCFA.

(c)\_\_\_\_\_

Borrower has no legal, beneficial, or equitable interest in the fees or the contribution.

(d)\_\_\_\_\_

Borrower does not have a total enrolled principal amount in excess of \$2,500,000 at any CalCAP participating financial institution over a three (3) year period.

(e)\_\_\_\_\_

Borrower has secured or made application for all applicable licenses or permits needed to conduct its business.

(f)\_\_\_\_\_

Borrower has received CPCFA's CalCAP Privacy Notice dated December 5, 2028.

(g)\_\_\_\_\_

Borrower is not: an executive officer, director, or principal shareholder of the lender/participating financial institution; a member of the immediate family of those individuals; or a related interest of those individuals.

(h)\_\_\_\_\_

**SECTION III: VEHICLE INFORMATION**

By initialing below, the Borrower certifies that each statement is true and correct.

Borrower certifies that the qualified business meets state and federal requirements to operate in California.

(a)\_\_\_\_\_

Borrower agrees to allow CARB staff or its designee to inspect the affected vehicle.

(b)\_\_\_\_\_

**CalCAP CARB HDV Air Quality Loan Program Borrower Certification**

The Borrower must provide the applicable information in the section below, including the engine manufacturer and model year of a truck that is replaced with a truck purchased with loan proceeds.

(c)\_\_\_\_\_

**Additional Information for Completion by the Borrower**

**Fleet Size** (The Borrower shall write in the total number (example: 8) of on-road vehicles in their fleet with a GVWR greater than 8,500 lbs. under common ownership and control and domiciled in California.):\_\_\_\_\_

**For Truck Purchases**

For truck purchased, state the GVWR (information about Gross Vehicle Weight Rating (GVWR) is available at <http://www.arb.ca.gov/msprog/truckstop/azregs/labels.htm>):

\_\_\_\_\_

State if truck is NEW or USED:

\_\_\_\_\_

Vehicle Manufacturer:

\_\_\_\_\_

Vehicle Model Year:

\_\_\_\_\_

Technology/Fuel Type (fuel codes: D-Diesel, E-Electric, H-Hydrogen Fuel Cell, N-Natural Gas, Q-Hybrid, P-Propane):

**Replaced Truck (if purchase is to replace a conventional truck)**

\_\_\_\_\_

Engine Manufacturer:

\_\_\_\_\_

Engine Model Year:

\_\_\_\_\_

## CalCAP CARB HDV Air Quality Loan Program Borrower Certification

Engine Horsepower:

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Technology/Fuel Type (fuel codes: D-Diesel, E-Electric, H-Hydrogen Fuel Cell, N-Natural Gas, Q-Hybrid, P-Propane):

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**For Trailer Purchases (Trailers may be eligible for financing ONLY in conjunction with the purchase of an eligible tractor.)**

Trailer Manufacturer:

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Trailer Model Year:

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SmartWay Certified? (Yes or No):

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The undersigned declares under penalty of perjury, under the laws of the State of California, that all statements and responses made in this self-certification are true and correct, with full knowledge that all statements and responses are subject to investigation and that any incomplete, unclear, false, or dishonest response may be grounds for Borrower's disqualification in the HDV Air Quality Loan Program and may also result in Borrower being barred from participating in any other California-sponsored or other state programs, or from doing business with the State of California. The Borrower acknowledges, understands, and accepts that by providing or making any false statements or providing false information, the Borrower may be in a violation of the California False Claims Act (Government Code Section 12650 et. seq.).

**CalCAP CARB HDV Air Quality Loan Program Borrower Certification**

**Business Name:**

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**Individual's Name** (please print):

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**Individual's Title** (as it pertains to the business):

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**Business Address** (address, city, state, zip code):

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**Phone Number:**

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**Signature of above Individual:**

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**Date:**

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CalCAP Use Only	
Analyst's Initials:	Date:
Reviewer's Initials:	Date:
Authorized Signature:	Date:

When complete, please send to: [CalCAP@treasurer.ca.gov](mailto:CalCAP@treasurer.ca.gov)